

Bio-inspired Classification in the Architecture of Situated Agents

G. Gini, A. Franchi, F. Ferrini, F. Gallo, F. Mutti
and R. Manzotti

1 **Abstract** Cognitive development concerns the evolution of human mental capabil-
2 ities through experience earned during life. Important features needed to accomplish
3 this target are the self-generation of motivations and goals as well as the develop-
4 ment of complex behaviors consistent with these goals. Our target is to build such
5 a bio-inspired cognitive architecture for situated agents, capable of integrating new
6 sensing data from any source. Based on neuroscience assessed concepts, as neural
7 plasticity and neural coding, we show how a categorization module built on cascad-
8 ing classifiers is able to interpret different sensing data. Moreover, we see how to
9 give a biological interpretation to our classification model using the winner-take-all
10 paradigm.

11 **Keywords** Bio-inspiration · Perception · Classifiers cascade · One-class classifier ·
12 Winner take all

13 1 Introduction

14 A challenge both for engineers and neuroscientists is to develop a robot that acts and
15 thinks like a human; despite this problem is not new and researchers have worked
16 on it since the twentieth century, in the last decades we have seen the arising of
17 biologically inspired approaches. These kinds of solutions mimic what we know
18 about the brain to shape the robots in a similar way. The current aim is to develop
19 a complete conceptual and computational framework describing both how the brain
20 might work and when the cognition arises.

G. Gini (✉) · A. Franchi · F. Ferrini · F. Gallo · F. Mutti
DEIB, Politecnico di Milano, Milan, Italy
e-mail: gini@elet.polimi.it

R. Manzotti
IULM University, Milan, Italy
e-mail: Riccardo.Manzotti@iulm.it

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21 During their life humans develop their mental capabilities: this process is called
22 cognitive development and concerns how a person perceives, thinks, and gains under-
23 standing of the world by the interaction of genetic and learned factors. A fundamen-
24 tal aspect in cognitive development is the autonomous generation of new goals and
25 behaviors, which allows the individual to adapt to various situations. In order to real-
26 ize agents capable of interacting in an effective way with humans, robotics should
27 study the processes of human brain that allow the cognitive development [1].

28 Our work gives a contribution to the achievement of this objective: its purpose is
29 to create a bio-inspired model based on human brain processes that should make the
30 agent able to autonomously develop new goals as well as new behaviors consistent
31 with these goals. In this broad area, we address an intermediate level of cognition,
32 what allows humans to be aware of the surrounding environment and then to interact
33 with it. This capability is an essential precondition to create agents able not only to
34 act in a consistent manner in response to the changes in the environment, but also to
35 develop goals that can emerge [2, 3].

36 As a model of the goal generation behaviors, we have chosen the amygdala–
37 thalamus–cortical interaction [1]. The cerebral cortex is divided into lobes, each
38 having a specific function. The parts of the cortex that receive sensory inputs from
39 the thalamus are called primary sensory areas [4]. The thalamus is the primary site
40 of relay for the sensory pathways on their way to the cortex [5]. It is partitioned into
41 about 50 segments, which do not directly communicate with each other. Instead, each
42 one is in synchronized projection with a specific segment of the cortex, and receives
43 a projection from the same segment. Therefore, while the cortex is concerned with
44 data processing, the thalamus determines which goals have to be pursued. Lastly, the
45 amygdala is a group of nuclei in the medial temporal lobes heavily connected to the
46 cortex and involved in the generation of somatosensory response taking advantage
47 of hardwired criteria [6].

48 Our Intentional Distributed Robotic Architecture (IDRA) is a network of ele-
49 mentary units, called Intentional Modules (IM) that enables the development of new
50 goals, together with a Global Phylogenetic Module (GPM) containing the “innate
51 instincts,” as in the amygdala. The network is composed by several layers dynam-
52 ically connected in forward or feedback mode. Each IM contains the Categorization
53 and the Ontogenetic modules (CM and OM). The CM mimics the cerebral cortex
54 and returns a vector that represents the neural activation of the cortex in response to
55 the input; the OM receives this vector and through Hebbian learning develops new
56 goals, returning also a signal stating whether the current state meets the new goals.
57 The IM receives signals from both GPM and OM and returns the more relevant of
58 the two and the neural activation computed by the CM.

59 Therefore, after all the sensory input has been acquired, filtered, and sent to the
60 IM network, each module returns a state vector and a signal indicating how much
61 the actual environmental state is satisfying the agent goals. The vector of neural
62 activations and the signal computed by IDRA are then used by the Motor System
63 (MS) to generate movements consistent with the goal of the agent; each movement
64 is a composition of elementary components, called motor primitives [7]. In [1], we
65 have shown how to integrate motor primitives in IDRA using the NAO robot.

66 In our cognitive architecture, we do not focus on high-level motor skills nor on
67 high-level reasoning and planning; instead, we focus on the intermediate level of cog-
68 nition that allows mammals and humans to be aware of the surrounding environment.
69 This awareness is supposed to be not a direct product of sensations, the “phenomeno-
70 logical mind,” nor a product of high-level conceptual thoughts, the “computational
71 mind,” but to be a product of several intermediate levels of representation [8]. This
72 point of view has some interesting features related to consciousness: it underlines
73 how we can interpret the surrounding environment without the need for high-level
74 conceptualizations; therefore, solving the grounding problem of a semantic interpre-
75 tation of a symbol system that is intrinsic to the system itself.

76 In this paper, we focus on the categorical representation that is the learned and
77 innate capability to pick out the invariant features of objects and of events from their
78 sensory projections. Categorization is the first step in building cognitive functions
79 for language, prediction, and action. We avoid any ontological problem of defining
80 categories lists if, according to Kant, we consider that categories are due to the nature
81 of the mind, not to intrinsic divisions in the reality. Recently work on ontological
82 categories has attracted interest also in cognitive science, where the goal is to define
83 the means by which humans group things into categories. In [9], two basic principles
84 of categorization are introduced: the task of category systems is to provide maxi-
85 mum information with the least cognitive effort, and the perceived world comes as
86 structured information rather than as arbitrary or unpredictable attributes. Thus, the
87 maximum information with the least cognitive effort is achieved if categories map
88 the perceived world structures.

89 Our categorization makes use of two main bio-inspired principles: population
90 coding and neuroplasticity. Exploiting these two concepts, we designed a classifier
91 working the same on any kind of sensing input, mimicking the different layers of the
92 nets that decompose and analyze the sensing data [10]. Our classifier is a cascade of
93 simple classifiers that work more and more on the same data to boost its predictivity,
94 in a paradigm that has been successfully applied in literature [11]. The new aspect
95 here is that we show how this paradigm is compatible with the neural substrate of
96 our system; the experiments we report are about vision and audio signals.

97 In Sect. 2, we present the biological aspects related to our classification system. In
98 Sect. 3, we develop the bio-inspired classifier. In Sect. 4, we report on the experiments.
99 Section 5 contains the conclusions.

100 2 Key Biological Inspiration

101 Cognitive neuroscience focuses on the development of a theoretical framework to fill
102 the gap between the neural activity and the complex behavioral traits of the brain such
103 as memory, learning, high vision processing, emotion, and higher cognitive functions.
104 The underlying features, widespread among these brain functionalities, define the
105 information processing, i.e., how the brain encodes and propagates information [12].
106 According to the classical view, the brain workflow is composed by at least three

107 phases: perception, cognition, and action. Cognitive functions are separated from
108 the sensor-motor system but recent works show that they are not localized in high
109 specialized brain areas but are managed by the same sensor-motor neural populations
110 [13].

111 The neural circuitry is organized in several functional areas responsible to solve
112 specific subtasks [14]; this implies that a high level of synchronization among dif-
113 ferent areas is needed. This functional organization follows the divide-et-impera
114 paradigm: the anatomical separation of the brain areas leads to a hierarchical orga-
115 nization of the brain functionalities. Given a sensory source, information is filtered
116 along different brain areas, mixed with other sensory information, and used to take an
117 action decision; this information flow through different areas for achieving a specific
118 objective is called pathway.

119 Widespread computational mechanisms are interesting for creating a computer
120 model of the brain; brain models infer the organization of the neuronal population in
121 order to produce a neural activity with the same properties of the biological counter-
122 part. These populations have a computational mechanism that cannot be inferred by
123 the single neuron activities; two examples are models of the primary visual cortex
124 [15], and of the posterior parietal cortex [16].

125 There are at least six general mechanisms in the brain that should be taken into
126 account: population coding, gain modulation, normalization, statistical coding, feed-
127 back connections, and neural plasticity.

128 Population coding is the mechanism used in the brain to represent sensory infor-
129 mation through a group of neurons organized in such a way that neighboring neurons
130 have similar activity [17]; one of the advantages of using a population of neurons to
131 represent a single variable is its robustness to neural noise [18].

132 Gain modulation is an encoding strategy for population of neurons where the sin-
133 gle neuron response amplitude is varying without a change in the neuron selectivity.
134 This modulation, also known as gain field, can arise from either multiplicative or
135 nonlinear additive responses and is a key mechanism in coordinate transformations
136 [19].

137 Normalization is a widespread mechanism in several sensory systems where the
138 neural responses are divided by the summed activity of a population of neurons to
139 decode a distributed neural representation [20].

140 Statistical coding is a kind of population coding especially used for sensory data
141 [10]; it seems to be widespread in the brain areas devoted to the preprocessing of the
142 sensory data and it offers two advantages: it reduces the dimensionality of the input
143 space and it gives an interpretation to the topological organization and emergence
144 of the neuron receptive fields. An approach that takes into account the statistical
145 properties of the sensory input is the Independent Component Analysis (ICA) [21].

146 Neuroplasticity is the lifelong ability of the brain to reorganize neural pathways
147 based on new experiences; it works at different levels, from the single neuron to whole
148 brain areas. The Hebbian learning is the commonly accepted learning principle at
149 network level.

150 Perception from different sensors is managed in a similar way in the brain [14].
151 At first, the receptors specific to react to a given stimulus, decompose the stimulus

Author Proof

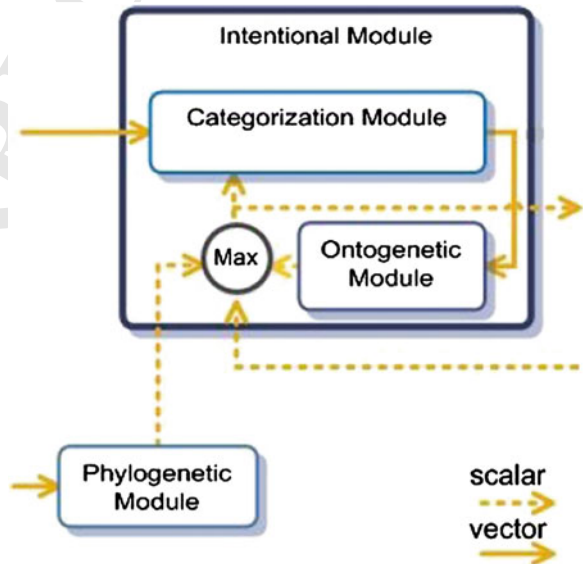
152 into elementary components; when the receptors are activated they propagate data to
 153 nonspecific areas in the cortex where different sensorial modalities are represented
 154 and signals are integrated. In the case of vision, information from the retina is separ-
 155 ated in two by the ganglia cells M and P, which project onto different layers of the
 156 thalamus; the two paths analyze different aspects of the image and the information
 157 is then recombined in the cortex. In the case of auditory data, the ear through ciliate
 158 cells identifies the single frequencies and codifies them through the spike frequen-
 159 cies of the acoustic nerve; this information is then transmitted to the cochlear nuclei
 160 which codify both frequency and intensity; finally, the information is sent to the audio
 161 cortex. As already observed, most areas of the cortex receive signals from specific
 162 regions but can manage different signals using normalization and population coding
 163 [10].

164 3 One-Class Classifiers and Winner-takes-all

165 Our IDRA system [1] is a layered net of Intentional Modules (IM) simulating con-
 166 nections and interactions between the cerebral cortex (CM) and the thalamus (OM);
 167 beside there is a Global Phylogenetic Module (GPM), representing the amygdala,
 168 which is connected to all IMs. Each IM (Fig. 1) contains a CM and an OM. Incoming
 169 data are directly sent to the CM and the categories it creates are sent to the OM.

170 The GPM contains the hard-coded instincts [22] and broadcasts its signal to all
 171 the IMs. Input to GPM comes from sensors; output from GPM is normalized in

Fig. 1 Structure of the intentional module (IM)



172 <zero-one> and tells how much the incoming stimulus is important according to
173 the a priori stored criteria;

174 CM has the function of extracting different kinds of features from sensorial data
175 and of categorizing interesting stimuli into a sort of memory of relevant events;

176 OM uses the categories from CM, performs Hebbian learning to develop new
177 goals and returns the Ontogenetic Signal expressing how much these new goals are
178 satisfied

179 The output of each IM is a vector, representing the neural activation generated by
180 sensory input, plus a scalar signal representing how much the actual input satisfies
181 both hard-coded goals and new developed goals.

182 These values of neural activations from CM are computed using a vector of
183 weights, producing the ontogenetic signal O_s as the maximum between the eval-
184 uated neural activations:

$$185 \quad O_s = \max_i (y_i \cdot w_i) \quad (1)$$

186 where y_i is the activation of neuron i and w_i is the vector of normalized weights
187 associated to neuron i . The weights are updated for every iteration using a Hebbian
188 learning function:

$$189 \quad w_i = w_i + \eta (h_s \cdot y_i - (w_i \cdot w_i^2)) \quad (2)$$

190 where η is the learning rate and h_s is the Hebbian control signal coming from the IM.
191 In order to learn there must be persistent functional changes in the brain so that the
192 IMs can adapt to changes in sensory input: if we send to an IM input from a video
193 sensor it will specialize to it; the interesting ability is that if we switch its input to
194 different types of stimuli, the module will gradually adapt.

195 In the preliminary IDRA system, categorization was obtained in two steps: first,
196 the input is projected in the space of the independent components of the input,
197 collected a priori in an offline training stage

$$198 \quad W = IC \times I \quad (3)$$

199 where W is the resulting vector of weights, IC is the matrix of independent compo-
200 nents, and I is the input vector; second, a clustering is performed on the vector of
201 weights W . Clustering is a good way to get the neural code of the input regardless
202 its type. The result of the Categorization Module is a vector containing the activa-
203 tions of cluster which depends on the distance of the input stimuli from the center of
204 each cluster (e.g., How much the current input is similar to something I have already
205 experienced?). This vector corresponds to the activation of a neuron centered in each
206 cluster:

$$207 \quad y_i = \rho(x, C_i) \quad (4)$$

208 where y_i is the distance of the actual input from the center of the cluster i , x is the
209 input and C_i is the center of the cluster i .

210 The main drawback of this approach is that data passed to the following IMs lacks
211 of meaning and that it does not mimic the known steps of sensory data analysis [23].

Our new categorization system integrates machine learning and neural population coding. In machine learning, One-Class Classifiers (OCC) are used when the problem is to distinguish target objects from outliers; to improve the performances of the classifier, different combination techniques can be adopted; for instance, ensembling different classifiers or using classifiers that use different features [24]. The particular ensembling method we chose is the classifier cascade [11] where the same dataset is presented more and more to the classifier to boost its response.

In case of multiclass classification, the so called one-versus-all (OVA) classification paradigm [25] guarantees an higher accuracy making the multiclass classifier as the result of calling many OCC; moreover, our solution is compatible with the winner-takes-all strategy (WTA) [26], a model of the neurons in response to a stimulus; according to WTA, the neuron with the highest activation value is chosen and the other inhibited.

Here we combine the cascade of different OCC with OVA to get a sort of WTA strategy; the categorization module uses all the signals produced by the initial filters and analyzes them in different layers of IM. All the IMs receive in input the same signal sent to the previous layer; this way, the architecture reproduces the mechanism that integrates the unimodal regions in the multimodal areas [26].

The categorization activity, spread through different cortex areas [23], starts with an initial filtering stage which decomposes the input stimulus into several signals each focused on a particular feature; all of these signals are then transformed by ICA which projects them onto independent components collected a priori for every single CM. Lastly, the resulting weights of activation are clustered and stored if “interesting.” This way, the input signal is transformed into a neuronal activity which is independent from data type and dimension. To mimic the mechanism of population coding, each layer of the architecture contains a number of IM, each modeling a small group of neurons, according to the equation

$$|IM_x| = \sum_{j=1}^{|IM_{x-1}|} \binom{|IM_{x-1}|}{j} \quad (5)$$

where the number of IMs in the x layer is computed from the number of IMs in the previous layer. Normalization is mimicked taking the average of the output signals of all the last-level IMs.

Our implemented architecture is so structured; the network is feed-forward on three layers with a fixed number of IMs; the first layer contains two IMs each connected to a specific filter (eventually filters can be equal). The second layer has three IMs, one for each input combination; the third layer contains seven IMs to take again all the combinations of previous input. From this last layer, the result is normalized and extracted (Fig. 2).

For experimenting with video input, we defined a filtering stage that extracts three different features, namely the image saturation, its edges, and the black and white image. All these transformations are easily implemented using Matlab. These signals are sent into the architecture: the first is used only by the GPM which uses the hard-

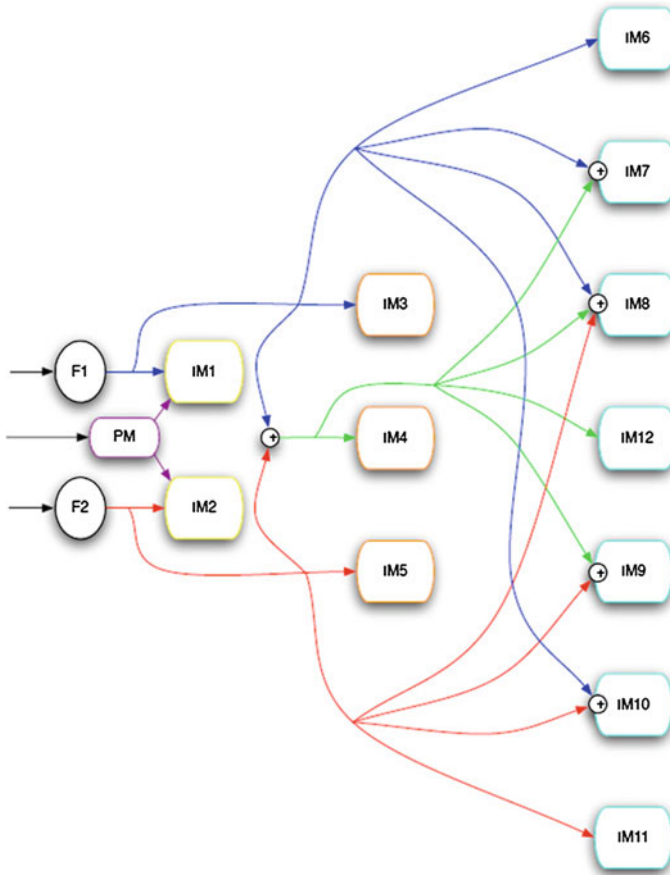


Fig. 2 The classifier architecture with the forward signals

253 coded instincts of the attraction for colors; the two last ones are the input of the IMs
254 in the first layer of the network.

255 We used two identical filters when working with audio signals to perform Fast
256 Fourier Transform (FFT). It is important to note that the output of the filtering stage
257 is always a vector with variable lengths regardless the input stimuli.

258 4 Experimental Results

259 The experimental step has highlighted the performances of the classifier here
260 depicted. Two experiments with visual data have been performed: the first dealing
261 with Optical Character Recognition (OCR) and the second with face recognition. The

262 reason we have chosen these two tasks is double: on one hand, they can be performed
 263 using common online datasets so that their performances are comparable to state of
 264 art; on the other hand, it is interesting to compare the behavior of our architecture
 265 with the way our brain deals with these two tasks. A simple third experiment was
 266 about voice recognition.

267 We used the classical four indices as experimental metrics: accuracy, as the per-
 268 centage of correct classifications (both positive and negative) over the total number
 269 of tests; precision, as the number of correct positive classifications over the total
 270 number of positive classifications (both true positive and false positive); recall, as
 271 the percentage of correct positive classifications over the total number of correct
 272 classifications; specificity, as the number of negative elements correctly classified
 273 over the sum of true negative and false positive.

274 **4.1 Optical Character Recognition**

275 The chosen dataset was extracted from UCI Machine Learning Repository letter
 276 dataset; we have selected ten characters, including those very similar like “P” and
 277 “R,” each one represented in 800 black and white images of 128×128 pixels (Fig. 3). AQ1

278 We have randomly split our dataset into 640 images for training and 160 for testing;
 279 as input filtering we have implemented a standard Canny filter for edge extraction
 280 and a black/white transformation.

281 The first step has been the training of the architecture for each letter, getting ten
 282 different networks each one able to distinguish a particular character (e.g., the “A”).
 283 During testing, we have presented each character sample to each of these networks;
 284 the result is a series of ten output values between 0 and 1 which tell us how much
 285 the architecture is confident to classify the input as the character associated with the
 286 network currently loaded in the system. We collected all values into a 2D matrix,
 287 containing one column for each image and one row for each network; the final
 288 classification output for each input was extracted looking in each column for the
 289 highest value and taking the corresponding row as the recognized character. The
 290 results on the test set are reported in Table 1.

291 Using 1600 images (160 per each letter) we got only 13 errors, resulting thus in a
 292 percentage of error lower than 0.8 %; among the most easily misclassified characters
 293 there are “R” and “D”; “I” or “K” have always been correctly guessed. Good results
 294 are in line with other empirical evaluation [27]. Authors of [28] empirically compared
 295 different methods of multiclass classification using SVM as basic classifier and found
 296 on the letter dataset an accuracy between 97.98 and 97.68. However, we have to
 297 underline two important aspects: first the number of classes in our dataset is much

Fig. 3 Different examples of the character “K” in the dataset



Table 1 Accuracy, precision, recall, and specificity for each letter in our test set

Letters	Accuracy (%)	Precision (%)	Recall (%)	Specificity (%)
A	100	100	100	100
B	99.63	96.95	99.38	99.65
D	99.69	99.36	97.5	99.93
E	99.94	100	99.38	100
I	100	100	100	100
K	100	100	100	100
P	99.63	97.53	98.75	99.72
R	99.63	98.73	97.5	99.86
S	99.94	99.38	100	99.93
T	99.94	100	99.38	100

298 smaller than the complete set of symbols; second, our dataset is composed of sharp
 299 black white images, not considering for example illumination problems that can
 300 emerge in real applications.¹

301 4.2 Face Recognition

302 The second experiment focuses on the recognition of faces which is a slightly
 303 more complex task. We have used the Yale University dataset available online for
 304 researches purposes; this is composed of two sets of images (A and B), where the
 305 first (A) contains photos of faces from the chin to the forehead, in the second (B)
 306 all the images also show small portions of the foreground. We selected only the A
 307 set in order to constrain images dimension to 168×192 pixels for computational
 308 issues; we split the dataset into training and testing sets as done before. Since the
 309 number of images was very low, we decided to generate more samples by copying
 310 and modifying some of the images for the training set; as test set, we randomly chose
 311 10 images for each of the 11 marked subjects, for a total of 110 samples (Fig. 4).

312 We trained our architecture for every single subject as illustrated before, getting 11
 313 different networks each one fitted on a specific subject; during the following testing
 314 stage, we presented each of the 110 samples to all of the trained networks; the result
 315 is a series of values between 0 and 1 describing the confidence the architecture has
 316 in classifying the input. All these results have been collected in a matrix composed
 317 by one row for each trained network and a column for each test sample; the row with
 318 the highest value in each column is the recognized face.

¹In questa parte ci sono due/tre frasi da rivedere; non ho capito bene le correzioni!



Fig. 4 An example of the Yale dataset; faces on the *left* correspond to faces on the *right*

319 Our system performed very well, without any classification error; this good result
 320 may arise from the fact that faces have many more details for performing classification
 321 (e.g., eye distance, beard, nose, etc.) and perhaps also from the quality of the dataset.²

322 **4.3 Audio Recognition**

323 We also wanted to test whether our architecture could adapt to different kinds of
 324 input, a fundamental ability shown by human brain.

325 We chose to use various registrations of the English word “sure” made by different
 326 persons all with different intonations and accents. Here a significant difference with
 327 vision concerns data structure: our architecture needs all the input to be the same
 328 length after the filtering stage; if this holds for images as they all have the same
 329 dimension, audio files may differ in length and thus we applied FFT and only one
 330 amplitude filter, discarding any processing on signal phase [29]. We have thus created
 331 a dataset composed by 200 audio files, split in 150 samples for training and 50 for
 332 testing.

333 For this last experiment, we used a simple linear binary classifier only to recognize
 334 or not the word. Results on the test set are reported in Table 2; the best threshold value
 335 is 0.4, which gives an accuracy of 81 % and a recall of 92 %.

336 Considering these results, we can state that our architecture performs quite well
 337 also with audio input even though our dataset was not large enough for a robust
 338 training.

²<http://vis-www.cs.umass.edu/lfw/results.html>.

Table 2 Accuracy, precision, recall, and specificity for each face in out test set

Threshold	Accuracy (%)	Precision (%)	Recall (%)	Specificity (%)
0.8	52	100	4	100
0.7	56	89	16	96
0.6	60	72.73	32	88
0.5	62	67.65	46	78
0.4	81	75.41	92	70
0.3	78	69.44	100	56

5 Conclusions

Our aim is the creation of a bio-inspired software architecture based on the processes that take place in the human brain; this architecture must be able to learn new goals, as well as to learn new actions to achieve such goals.

Crucial part of this architecture is the categorization module; here we have developed a classifier that takes inspiration from basic brain mechanisms.

Our experiments have shown that the agent is able to analyze data, clustering different kinds of features and to obtain results in classification that are very similar to those obtained by specialized classifiers as found in the literature. The important result is that the generic classifier based on a simple neural architecture can perform well on a few different dataset; further, experiments are needed to validate these promising results with respect to several kinds of sensory data.

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Chapter 43

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